

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COATING LIQUID FOR FORMING HARD COAT FILM AND SUBSTRATE COATED WITH SUCH A FILM

the specification of which

(check one)

☒ is attached hereto.

☒ was filed on December 14, 1998 as

Application Serial No. PCT/JP98/05638

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>346187/1997</u>	<u>Japan</u>	<u>16/Dec./1997</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

William H. Logsdon	<u>22,132</u>	Barbara E. Johnson	<u>31,198</u>	Lester N. Fortney	<u>38,141</u>
Russell D. Orkin	<u>25,363</u>	Paul M. Reznick	<u>33,059</u>	Randall A. Notzen	<u>36,882</u>
David C. Hanson	<u>23,024</u>	John W. McIlvaine	<u>34,219</u>	Jesse A. Hirshman	<u>40,016</u>
Frederick B. Ziesenheim	<u>19,438</u>	Michael I. Shamos	<u>30,424</u>	James G. Porcelli	<u>33,757</u>
Richard L. Byrne	<u>28,498</u>	Blynn L. Shideler	<u>35,034</u>	Kent E. Baldauf, Jr.	<u>36,082</u>
Kent E. Baldauf	<u>25,826</u>	Julie W. Meder	<u>36,216</u>		

Send Correspondence to:

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Direct Telephone calls to: (name and telephone number) Russell D. Orkin (412) 471-8815

Full name of sole or first inventor	<u>Hirokazu TANAKA</u>
Inventor's signature	<u>Hirokazu Tanaka</u> Date <u>May 11, 2000</u>
Residence	<u>Kitakyushu-shi, Fukuoka, Japan (SPX)</u>
Citizenship	<u>Japanese</u>
Post Office Address	<u>c/o Wakamatsu Plant, Catalysts & Chemicals Industries Co., Ltd.,</u>
	<u>13-2, Kitaminato-machi, Wakamatsu-ku, Kitakyushu-shi, Fukuoka 808-0027, Japan</u>
Full name of second joint inventor, <u>2nd</u>	<u>Masafumi HIRAI</u>
Second inventor's signature	<u>Masafumi Hirai</u> Date <u>May 11, 2000</u>
Residence	<u>Kitakyushu-shi, Fukuoka, Japan (SPX)</u>
Citizenship	<u>Japanese</u>
Post Office Address	<u>c/o Wakamatsu Plant, Catalysts & Chemicals Industries Co., Ltd.,</u>
	<u>13-2, Kitaminato-machi, Wakamatsu-ku, Kitakyushu-shi, Fukuoka 808-0027, Japan</u>

(Supply similar information and signature for third and subsequent joint inventors.)

Full name of third joint inventor, if any Chiemi MURAKAMI

Third inventor's signature

CHIEMI MURAKAMIDate May 11, 2000

Residence

Kitakyushu-shi, Fukuoka, Japan

Citizenship

Japanese

Post Office Address

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Full name of fourth joint inventor, if any

Fourth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of fifth joint inventor, if any

Fifth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of sixth joint inventor, if any

Sixth inventor's signature

Date

Residence

Citizenship

Post Office Address

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SF579)

Hirokazu TANAKA, Masafumi HIRAI

Applicant or Patentee: and Chiemi MURAKAMI

Attorney's

Serial or Patent No.: _____ Docket No.: 1217-001125

Filed or Issued:

For: COATING LIQUID FOR FORMING HARD COAT FILM AND SUBSTRATE COATED WITH SUCH A FILM

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

I hereby declare that I am

☒ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf
of the concern identified below:

NAME OF SMALL BUSINESS CONCERN CATALYSTS & CHEMICALS INDUSTRIES CO., LTD.
ADDRESS OF SMALL BUSINESS CONCERN 580, Horikawa-cho, Saiwai-ku, Kawasaki-shi,
Kanagawa 210-0913, Japan

I hereby declare that the above identified small business concern qualifies
as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR
1.9(d), for purposes of paying reduced fees to the United States Patent and
Trademark Office, in that the number of employees of the concern, including those
of its affiliates, does not exceed 500 persons. For purposes of this statement,
(1) the number of employees of the business concern is the average over the
previous fiscal year of the concern of the persons employed on a full-time,
part-time or temporary basis during each of the pay periods of the fiscal year,
and (2) concerns are affiliates of each other when either, directly or
indirectly, one concern controls or has the power to control the other, or a
third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and
remain with the small business concern identified above with regard to the
invention, entitled COATING LIQUID FOR FORMING HARD COAT FILM AND SUBSTRATE COATED
WITH SUCH A FILM by inventor(s) Hirokazu TANAKA, Masafumi
HIRAI and Chiemi MURAKAMI
described in

☒ the specification filed herewith.
☒ application serial no. PCT/JP98/05638, filed December 14, 1998.
patent no. _____, issued _____.

If the rights held by the above identified small business concern are not
exclusive, each individual, concern or organization having rights in the
invention is listed below* and no rights to the invention are held by any person,
other than the inventor, who would not qualify as an independent inventor under
37 CFR 1.9(c) if that person made the invention, or by any concern which would
not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit
organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are
required from each named person, concern or organization having rights to the
invention averring to their status as small entities. (37 CFR 1.27)

NAME

ADDRESS

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

NAME

ADDRESS

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification
of any change in status resulting in loss of entitlement to small entity status
prior to paying, or at the time of paying, the earliest of the issue fee or any
maintenance fee due after the date on which status as a small entity is no longer
appropriate. (37 CFR 1.28(b))

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Osamu UTSUMI

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING c/o CATALYSTS & CHEMICALS INDUSTRIES CO., LTD., 580,
Horikawa-cho, Saiwai-ku, Kawasaki-shi, Kanagawa
210-0913, Japan

SIGNATURE

O. Utsumi

DATE May 11, 2000

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